Signature

April 25, 2003

Date

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TRANSMITTAL F		Application No.	09/822,986				
IRANSIVIIIIAL F	UKIVI	Filing Date	March 30, 2001				
(to be used for all correspondence afte	er initial filing)	First Named Inventor	Carl M. Ellison RECEIVED				
		Group Art Unit	2185 MAY 0 1 2001				
		Examiner Name	Unassigned WIAT U 1 200				
Total Number of Pages in This Submissi	on 11	Attorney Docket Number	42390P8096 Technology Center 2100				
ENCLO	SURES (chec	k all that apply)					
Fee Transmittal Form	Assignmen	nt Papers lication)	After Allowance Communication to Group				
Fee Attached	Drawing(s)		Appeal Communication to Board of Appeals and Interferences				
Ámendment / Response	Licensing-r	related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final Affidavits/declaration(s)	Petition		Proprietary Information				
Extension of Time Request	Petition to 0 Provisional	Convert a Application	Status Letter				
Express Abandonment Request	Power of A Change of	ttorney, Revocation Correspondence Address	Other Enclosure(s) (please identify below):				
Information Disclosure Statement	Terminal D	isclaimer	5 Cited References				
PTO/SB/08	Request for	r Refund					
Certified Copy of Priority Document(s)	CD, Numbe	er of CD(s)					
Response to Missing Parts/ Incomplete Application	Remarks	Ī	-20				
Basic Filing Fee			18				
Declaration/POA			May after 12 of				
Response to Missing Parts under 37 CFR 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm William W. Sch	naal, Reg. No.	39,018					
	OKOLOFF, T	TAYLOR & ZAFM	AN LLP				
Signature A The Signature							
Date April 25, 2003	3	<u> </u>					
		ING/TRANSMISSION					
I hereby certify that this correspondence is be postage in an envelope addressed to: Assista	eing deposited with ant Commissioner	h the United States Postal S for Patents, Washington, D	Service as First Class mail with sufficient D.C. 20231 on:  April 25, 2003				
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PTO/SB/17 (01-03)
Approved for use through 04/30/2003. OMB 0651-0032
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<b>F</b> l	EE TRANSMITTAL
Lasiva	for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

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Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known					
Application Number	09/822,986	SCOUNT!			
Filing Date	March 30, 2001	RECEIVE			
First Named Inventor	Carl M. Ellison				
Examiner Name	Unassigned	MAY 0 1 200			
Group/Art Unit	2185				
Attorney Docket No.	42390P8096	Technology Center			

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)						
Check Credit card Money Other None 3. ADDITIONAL FEES											
Deposit Acc	_	ait card	Order	C Other	None	Large	Entity	Sma	li Entity		
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Deposit Account	Blake	lv. Sok	oloff. Taylo	r & Zafman	LLP					cover sheet.	
Account Name Blakely, Sokoloff, Taylor & Zafman LLP			2053 1812	130 2,520	2053 1812	130 2,520	Non-English specification  For filing a request for ex parte reexamination				
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Charge feet				edit any overpaym						Examiner action	
			uired under 37 CF		1,18 and 1.20.	1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
to the above			except for the filling coount	ng fee		1251	110	2251	55	Extension for reply within first month	
	*	FEE CA	LCULATIO	N		1252	410	2252	205	Extension for reply within second month	
1. BAS	IC FIL	ING FE	E			1253	930	2253	465	Extension for reply within third month	
Large Entity	Sn	nall Entity	_			1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee Code (\$)	Fee Code		Fee Description		Fee Paid	1255	1,970	2255	985	Extension for reply within fifth month	
	1		t tillty filing for			1404	320	2401	160	Notice of Appeal	
1001 750 1002 330	1		Utility filing fee Design filing fee	•		1402	320	2402	160	Filing a brief in support of an appeal	·
	- 1		Plant filing fee	•		1403	280	2403	140	Request for oral hearing	
1003 520 1004 750	1		Reissue filing fe	ee		1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1005 160			Provisional filing		$\vdash$	1452	110	2452	55	Petition to revive - unavoidable	
1500			1453	1,300	2453	650	Petition to revive - unintentional	·			
			TOTAL (1)	(\$)		1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXT	RA CL	AIM FE	ES <sub>Extra</sub>	Fee from		1502	470	2502	235	Design issue fee	
			Claims	below	Fee Paid	1503	630	2503	315	Plant issue fee	
Total Claims		. 20	= X	=		1460	130	2460	130	Petitions to the Commissioner	
Independent Claims			= X	=		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Multiple Depende	ent			=		1806	180	1806	180	Submission of Information Disclosure Stmt	
Large Entity	Sm	all Entity				8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Code	s (\$)	Fee Description			1809	750	1809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202		Claims in excess			1810	750	2810	375	For each additional invention to be	
1201 84 1203 280	220		Independent clair	ms in excess of 3 ent claim, if not pa	id					examined (37 CFR § 1.129(b))	
1203 280 1204 84	2203			ndent claims over		1801	750	2801	375	Request for Continued Examination (RCE)	
1.25. 04			patent		Ť	1802	900	1802	900	Request for expedited examination of a design application	
1205 18	2205	5 9	**Reissue claims original patent	in excess of 20 ar	nd over	Other fe	e (specify)			G. d. George, application	
		SUB	TOTAL (2)	(\$)	$\overline{}$						
**or n	umber pr	eviously pa	nid, if greater, For F	Reissues, see bel	ow o	*Reduced	by Basic Filin	g Fee Pai	ď	SUBTOTAL (3)	(\$)

Complete (if applicable) SUBMITTED BY Registration No. (714) 557-3800 39,018 Telephone Name (Print/Type) William W. Schaal (Attorney/Agent) 04/25/03 Signature Date

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



Docket No.: 42390P8096

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CARL M. ELLISON, ET AL.

Application No.: 09/822,986

Filed: March 30, 2001

For: Checking File Integrity Using Signature

**Generated In Isolated Execution** 

Art Group: 2185

Examiner: Unassigned

**RECEIVED** 

MAY 0 1 2003

**Technology Center 2100** 

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFE, TAYLOR & ZAFMAN LLP

Date: April 25, 2003

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

\_\_\_April 25, 2003\_

04-25-03

Corrinn R. Reynolds

Date

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Substitute for Form 1449A/PTO (Modified)					Complete if Known				
INFORMATION DISCLOSURE  STATEMENT BY APPLICANT				Application Number	<u>r</u>	09/822,986			
				Filing Date		3/30/01			
<u>(13</u>	TAŢ	EMENT E	BY APP	LICANT	First Named Invent	or:	Ellison, et a	1	
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.Sheet	1	<b>₹</b>	of	1	Attorney Docket Nu	ımber	042390.P80	96	
NT & TI	- OF WES	<del>.</del>		US PATFI	NT DOCUMENTS				
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Initial*	No. <sup>1</sup>	Number	Kind	.l of Cit∈	ed Document		Document DD-YYYY	Where Relevant Passages or Relev	
1		Code <sup>2</sup>	(If known)					Figures Appear	
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Exam.	Cite	Foreign Patent Document	Name of Patentee or Applicant	Date of Publication Pages, Columns,		
Initial*   N	No.¹	Office <sup>3</sup> Number⁴ Kind Code <sup>5</sup> (If known)	of Cited Document	of Cited Document MM-DD-YYYY	Lines, Where Relevant Passages or Relevant Figures Appear	
		WO 97/29567	Gressel			
		WO 01/75565	Ellison			
		WO 01/75595	Ellison			
		WO 01/27723A	Pearson			<u> </u>
		WO 01/27821A	Pearson			<u> </u>
						<u></u>

Examiner	Date Considered	
Signature		

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.